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## the covariance of multi-field perturbations, pseudo-susy and $f_{\text{NL}}$ .

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**ABSTRACT:** We reconsider cosmological perturbation theory for multi-component scalars, enforcing covariance in field-space, and ensuring that physical observations are independent of field re-definitions. We use the formalism to clarify some issues in the literature, and use pseudo-supersymmetry to derive exact expressions for terms of interest.

**KEYWORDS:** perturbations

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## 1 introduction

Expressing theories in a manner that is manifestly covariant under spacetime co-ordinate transformations ensures a degree of robustness; physical results will not depend upon the users choice of co-ordinates, which is generally regarded as a good thing. However, the spacetime that a field theory lives on is not necessarily the only manifold in a model, if one has  $n_\varphi$  scalar fields then we must also consider the manifold parametrized by the scalars, and ensure that our results do not depend upon our choice of parametrization. For example, if we have a complex field  $\varphi$  we should be able to use  $\varphi = u + iv$  or  $\varphi = \rho e^{i\theta}$  as parametrizations without altering physical results. This is something that is well accepted in many areas of particle theory, but has not penetrated all areas of cosmology, with many papers on perturbation theory quoting "general" formulae in a manner that depends upon the choice of field parametrization. For some expressions, such as [1]

$$\sum_{\alpha,\beta} \partial_\alpha \partial_\beta N \partial_\alpha N \partial_\beta N, \tag{1.1}$$

it is fairly straightforward to guess what the covariant expression is - simply replace partial with covariant derivatives. However, even here we have to take care of how the expression is derived, as this follows from a Taylor expansion of the local number of e-folds - which *does* involve partial rather than covariant derivatives. Other expressions such as [2–5]

$$\langle \delta\varphi^\alpha \delta\varphi^\beta \rangle \sim G^{\alpha\beta}, \quad (1.2)$$

where  $G_{\alpha\beta}$  are the scalar-manifold metric components, are more subtle as the left and right hand sides transform differently under field redefinitions. Furthermore, saying that the calculation is for flat field-space is not enough, especially when it may be desirable to change co-ordinates away from Cartesian type, in order to more naturally describe entropy and adiabatic perturbations. Indeed, such "co-ordinate" transformations have been done in the literature but, as shown the appendix D, can lead to erroneous conclusions.

In this paper we shall examine a method of perturbing scalar fields proposed in [6] that expresses the scalar perturbations themselves as vectors in field-space, this then allows one to write expressions that are manifestly covariant under field redefinitions. This method is then applied to the cosmological setting, and we present results for the evolution of the scalar perturbations. Such scalar perturbations are often described in terms of adiabatic and entropic perturbations, where the adiabatic perturbations describe departures of the scalar along the direction of the background trajectory in field-space, and the entropy perturbations take one off the background trajectory. Therefore we propose a covariant definition of entropy and adiabatic perturbations, and give expressions for their evolution, commenting upon the current definitions in the literature.

In order to put the formalism to use we calculate some quantities that are of interest in cosmology: the slow-roll parameters; the spectral index; and the non-Gaussianity parameter  $f_{\text{NL}}$ . These are presented for a particular class of potentials that exhibit a property known as pseudo supersymmetry, owing to their similarity to supergravity potentials. This property allows one to write *exact* expressions for various quantities, where general potentials would lead only to approximate results.

The paper is organized by describing the problem of scalar field perturbations in section 2, which are then connected to cosmological perturbations in 3. Covariant definitions of entropy and adiabatic perturbations are given in 3.1. Pseudo supersymmetry is described in section 4 and applied to the slow-roll parameters in 4.2. The  $\delta\mathcal{N}$  formalism is reviewed in section 5, and we use this to calculate the curvature perturbation within pseudo-susy, using the field-space-covariant perturbation formulation. Various technical details are contained in the appendices.

## 2 defining the scalar-field perturbations

The essence of the problem is to find some set of quantities,  $\chi^\alpha$ , that will represent the perturbations  $\varphi^\alpha \rightarrow \varphi^\alpha + \delta\varphi^\alpha$ . A natural question to ask is, why not just use  $\delta\varphi^\alpha$ ? The answer is that they do not transform in a convenient way under field redefinitions

$\underline{\varphi}' = \underline{\varphi}'(\underline{\varphi})$ , with the transformation law being

$$\delta\varphi'^\alpha = \frac{\partial\varphi'^\alpha}{\partial\varphi^\beta}\delta\varphi^\beta + \frac{\partial^2\varphi'^\alpha}{\partial\varphi^\beta\partial\varphi^\gamma}\delta\varphi^\beta\delta\varphi^\gamma + \dots \quad (2.1)$$

Such an expression makes it difficult to keep track of how objects transform under field redefinitions, and also confuse the "order" of perturbation expansions, with terms being first order in one choice of variables, but containing higher orders when written using other variables.

The key to resolving this is to use a method put forward in [6], and look for objects that describe the perturbation, but that transform covariantly as

$$\chi'^\alpha = \frac{\partial\phi'^\alpha}{\partial\phi^\beta}\chi^\beta. \quad (2.2)$$

Such transformation rules are of course familiar for spacetime quantities, but the same rules of covariance also apply to scalar-field manifolds. By expressing the perturbation theory in terms of tensors on the scalar-field manifold we are guaranteed to end up with physical results that are independent of how we choose to parametrize that manifold.

The basic observation is that two nearby points on the scalar-field manifold are connected by a unique geodesic  $\gamma$  (using the Christoffel connection), so we may use the tangent vector,  $\underline{\xi}$ , of such a geodesic to describe  $\delta\varphi$ , see Fig. 1. In this way we essentially replace  $\delta\varphi$  by  $\underline{\xi}_0$ , the tangent vector of  $\gamma$  evaluated at the background value of the scalars, and we parametrize  $\gamma$  such that  $\underline{\xi}_0$  has norm equal to the proper length between  $\varphi_{(0)}$  and  $\varphi_{(0)} + \delta\varphi$ . So, our perturbation variable is now a scalar-manifold tensor,  $\underline{\xi}_0$ , which is what we were aiming for. We shall now describe how this works in practise.

Suppose that the scalar-field manifold has metric  $G_{\alpha\beta}$ <sup>1</sup> and Christoffel symbols  $\Gamma_{\beta\gamma}^\alpha$ , and the scalars have potential  $V(\varphi)$ , i.e. the Lagrangian density is given by

$$\mathcal{L} = -\frac{1}{2}G_{\alpha\beta}\partial_\mu\varphi^\alpha\partial^\mu\varphi^\beta - V(\varphi), \quad (2.3)$$

then the geodesic connecting  $\varphi_{(0)}^\alpha$  to  $\varphi_{(0)}^\alpha + \delta\varphi^\alpha$  satisfies

$$\frac{d^2\varphi^\alpha}{d\lambda^2} + \Gamma_{\beta\gamma}^\alpha \frac{d\varphi^\beta}{d\lambda} \frac{d\varphi^\gamma}{d\lambda} = 0, \quad (2.4)$$

for some affine parameter  $\lambda$  describing the journey along the path; for close enough points such geodesics are unique. The tangent vector to the geodesic has components

$$\xi^\alpha = \frac{d\varphi^\alpha}{d\lambda}. \quad (2.5)$$

If we were to use the proper distance in field-space to parametrize the curve,

$$d\sigma = \sqrt{G_{\alpha\beta}d\varphi^\alpha d\varphi^\beta}, \quad (2.6)$$

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<sup>1</sup>We use Greek indices  $\alpha, \beta, \dots$  to represent the scalar field components, and  $\mu, \nu, \dots$  to represent spacetime indices.

then the tangent vector, which has components  $T^\alpha = \frac{d\varphi^\alpha}{d\sigma}$ , would be of unit norm,  $(T, T) = G_{\alpha\beta}T^\alpha T^\beta = 1$ . However, it is actually more convenient to choose the affine parameter  $\lambda$  such that

$$\varphi^\alpha(\lambda = 0) = \varphi_{(0)}^\alpha, \quad (2.7)$$

$$\varphi^\alpha(\lambda = 1) = \varphi_{(0)}^\alpha + \delta\varphi^\alpha. \quad (2.8)$$

The reason for this, as we shall see, is that then the norm of the perturbation will just be given by the proper distance in field-space between  $\varphi_{(0)}^\alpha$  and  $\varphi_{(0)}^\alpha + \delta\varphi^\alpha$ .

We now define the Riemann co-ordinates of some point near  $\varphi_{(0)}^\alpha$  to be  $\tilde{\varphi}^\alpha$ , given by

$$\tilde{\varphi}^\alpha = \sigma T_{(0)}^\alpha = \lambda \xi_{(0)}^\alpha, \quad (2.9)$$

where  $\sigma$  is the proper distance to the point from  $\varphi_{(0)}^\alpha$  along the connecting geodesic. This implies<sup>2</sup>

$$\sigma^2(T_{(0)}, T_{(0)}) = \lambda^2(\xi_{(0)}, \xi_{(0)}), \quad (2.10)$$

and so if we recall that the  $T^\alpha$  describe a unit norm tangent vector, then at  $\lambda = 1$  we have

$$(\xi_{(0)}, \xi_{(0)}) = \sigma^2. \quad (2.11)$$

For this reason we define our perturbation variable,  $\chi^\alpha$ , to be

$$\chi^\alpha = \xi_{(0)}^\alpha. \quad (2.12)$$

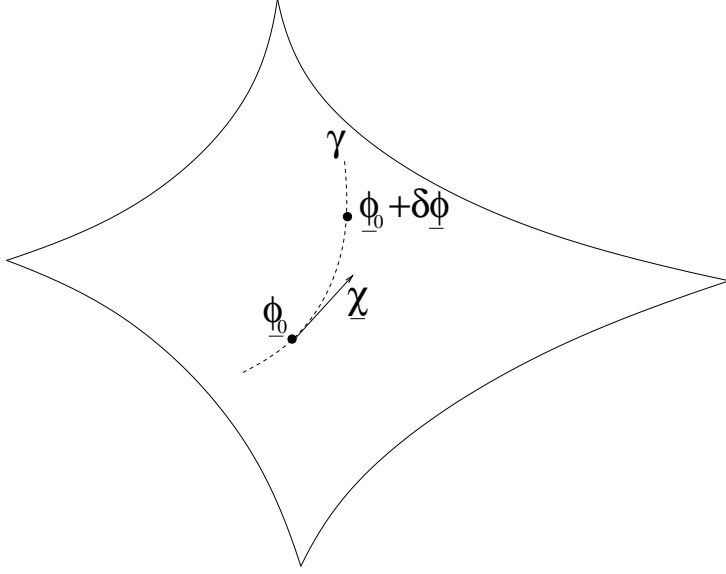
It is these variables that play the role of the  $\delta\varphi^\alpha$ ; they transform covariantly as they are the components of a tangent vector, and they have a norm equal to the proper distance between the background field and the perturbed field. Simply put, if we want to go from  $\varphi_{(0)}^\alpha$  to  $\varphi_{(0)}^\alpha + \delta\varphi^\alpha$ , just go along the geodesic associated to the tangent vector  $\xi_{(0)}^\alpha$ , Fig. 1.

Combining everything together from appendix A we find the action for the scalar field perturbations  $\chi^\alpha$  to be<sup>3</sup>

$$\begin{aligned} S[\varphi + \delta\varphi] &= \int d^4x \sqrt{-g} \left[ \left( -\frac{1}{2} G_{\alpha\beta} \partial_\mu \varphi^\alpha \partial^\mu \varphi^\beta - V \right) + \left( -G_{\alpha\beta} \partial_\mu \varphi^\alpha D^\mu \chi^\beta - \partial_\alpha V \chi^\alpha \right) \right. \\ &\quad - \frac{1}{2} \left( G_{\alpha\beta} D_\mu \chi^\alpha D^\mu \chi^\beta + R_{\beta\alpha_1\alpha_2\gamma} \chi^{\alpha_1} \chi^{\alpha_2} \partial_\mu \varphi^\beta \partial^\mu \varphi^\gamma + D_\alpha \partial_\beta V \chi^\alpha \chi^\beta \right) \\ &\quad - \frac{1}{3!} \left( D_{\alpha_1} R_{\beta\alpha_2\alpha_3\gamma} \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} \partial_\mu \varphi^\beta \partial^\mu \varphi^\gamma + 4R_{\beta\alpha_1\alpha_2\alpha_3} \chi^{\alpha_1} \chi^{\alpha_2} D_\mu \chi^{\alpha_3} \partial^\mu \varphi^\beta + D_{\alpha_1} D_{\alpha_2} \partial_{\alpha_3} V \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} \right) \\ &\quad - \frac{1}{4!} \left( \left[ D_{\alpha_1} D_{\alpha_2} R_{\beta\alpha_3\alpha_4\gamma} + 4R_{\beta\alpha_1\alpha_2\delta} R_{\alpha_3\alpha_4\gamma}^\delta \right] \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} \chi^{\alpha_4} \partial_\mu \varphi^\beta \partial^\mu \varphi^\gamma \right. \\ &\quad \left. + 6D_{\alpha_1} R_{\beta\alpha_2\alpha_3\alpha_4} \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} D_\mu \chi^{\alpha_4} \partial^\mu \varphi^\beta + 4R_{\alpha_1\alpha_2\alpha_3\alpha_4} D_\mu \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} D^\mu \chi^{\alpha_4} \right. \\ &\quad \left. + D_{\alpha_1} D_{\alpha_2} D_{\alpha_3} \partial_{\alpha_4} V \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} \chi^{\alpha_4} \right) + \dots \Big], \end{aligned} \quad (2.13)$$

<sup>2</sup>We use  $(,)$  to denote the inner product, using the metric components  $G_{\alpha\beta}$ ,  $(A, B) = G_{\alpha\beta} A^\alpha B^\beta$ .

<sup>3</sup>This corrects some typos in [6].



**Figure 1:** This is a plot indicating the definition of the perturbation variable  $\underline{\chi}(=\underline{\xi}_0)$ , as the tangent vector of the geodesic connecting the points  $\varphi_{(0)}^\alpha$  and  $\varphi_{(0)}^\alpha + \delta\varphi^\alpha$ , evaluated at  $\varphi_{(0)}^\alpha$ ; the dashed line represents the geodesic  $\gamma$ , and the norm of  $\underline{\chi}$  is just the length of the geodesic.

where  $D_\alpha$  is the covariant derivative on the scalar manifold, using the Christoffel connection, and  $R^\alpha_{\beta\gamma\delta}$  is its Riemann curvature. This is now a *fully* covariant expression, both in spacetime, and field-space, with the  $\underline{\chi}$  being spacetime scalars, and field-space vectors.

### 3 cosmological scalar perturbations

In this section we shall revisit some earlier calculations of multi-field scalar perturbations [2, 4, 5, 7–10] with an emphasis on writing expressions that are manifestly covariant in field-space.

The energy momentum tensor and equations of motion following from (2.3) allows us to determine the background quantities of energy density, pressure and total adiabatic sound speed

$$\bar{\rho} = \frac{1}{2}(\dot{\varphi}, \dot{\varphi}) + V, \quad (3.1)$$

$$\bar{P} = \frac{1}{2}(\dot{\varphi}, \dot{\varphi}) - V, \quad (3.2)$$

$$c_s^2 = \dot{\bar{P}}/\dot{\bar{\rho}} = 1 + \frac{2(\partial V, \dot{\varphi})}{3H(\dot{\varphi}, \dot{\varphi})}, \quad (3.3)$$

where a bar over a quantity indicates it is a background quantity.

We may also, using (B.2, B.3), evaluate the perturbation of the energy density, pressure

and fluid four-velocity

$$\delta\rho = (\dot{\phi}, D_t\chi) - \phi(\dot{\phi}, \dot{\phi}) + (\partial V, \chi), \quad (3.4)$$

$$\delta P = (\dot{\phi}, D_t\chi) - \phi(\dot{\phi}, \dot{\phi}) - (\partial V, \chi), \quad (3.5)$$

$$(\bar{\rho} + \bar{P})av = -(\dot{\phi}, \chi), \quad (3.6)$$

Then, using (3.6, B.8, B.11) we find a relation between the metric potentials and our scalar field perturbation

$$H\phi + \dot{\psi} + \frac{K\sigma_s}{a^2} = 4\pi G(\dot{\phi}, \chi). \quad (3.7)$$

The action (2.3) leads to the equation of motion for the background scalar-field

$$\mathcal{D}_\mu \partial^\mu \varphi^\alpha - G^{\alpha\beta} \partial_\beta V = 0, \quad (3.8)$$

where we remind the reader that  $\partial_\mu \varphi^\alpha$  transforms as the components of a spacetime vector, and a field-space vector, so its derivative must be covariant both in spacetime ( $\nabla$ ) and field-space ( $D$ ), which together we write as  $\mathcal{D}$  (A.24). On an FRW background this becomes

$$D_t \dot{\phi}^\alpha + 3H \dot{\phi}^\alpha + G^{\alpha\beta} \partial_\beta V = 0. \quad (3.9)$$

The action for the scalar-field perturbation (2.13), at quadratic order, leads to

$$\begin{aligned} D_t D_t \chi^\alpha + 3H D_t \chi^\alpha - \frac{1}{a^2} \Delta \chi^\alpha - R^\alpha_{\beta\gamma\delta} \dot{\phi}^\beta \dot{\phi}^\gamma \chi^\delta + D^\alpha \partial_\beta V \chi^\beta \\ = (\kappa + \dot{\phi}) \dot{\phi}^\alpha + [2D_t \dot{\phi}^\alpha + 3H \dot{\phi}^\alpha] \phi \end{aligned} \quad (3.10)$$

which, using (B.7,3.1,B.6,3.7) and taking  $K = 0$ , may be written in the form analogous to that in [8]

$$\begin{aligned} D_t D_t \chi^\alpha + 3H D_t \chi^\alpha_{(\psi)} - \frac{1}{a^2} \Delta \chi^\alpha - R^\alpha_{\beta\gamma\delta} \dot{\phi}^\beta \dot{\phi}^\gamma \chi^\delta + D^\alpha \partial_\beta V \chi^\beta \\ = \frac{8\pi G}{a^3} D_t \left( \frac{a^3}{H} G_{\beta\gamma} \dot{\phi}^\alpha \dot{\phi}^\beta \right) \chi^\gamma. \end{aligned} \quad (3.11)$$

In deriving this it is simplest to use the *uniform curvature gauge*,  $\psi = 0$  (B.1), but it is a simple matter to express it in gauge invariant variables, simply replace  $\chi^\alpha$  with  $\chi^\alpha_{(\psi)}$  as defined in App. C.

### 3.1 consistent entropy and adiabatic perturbations

In order to picture the nature of the perturbations it is sometimes convenient to split the perturbations into those parallel to the background evolution of  $\underline{\varphi}$  (adiabatic perturbations), and those normal to it (entropy perturbations); as such, we need to define the split. Our first observation is that a common definition for the entropy perturbations [10][11]  $\sim \frac{\delta\varphi^\alpha}{\dot{\varphi}^\beta} - \frac{\delta\varphi^\beta}{\dot{\varphi}^\alpha}$  will not do, they are very non-covariant objects. Nor can one split up the components of  $\underline{\varphi}$  and define density perturbations for each component of the form

$\dot{\varphi}^\alpha \delta \dot{\varphi}^\alpha - (\dot{\varphi}^\alpha)^2 \phi$ ,  $\partial V_\alpha \delta \varphi^\alpha$  (no sum over  $\alpha$ ) [11], as this is not covariant either. In the two-field case Gordon *et al* [4] avoided this issue by explicitly working with the components, however, that introduces an problem of its own (see App. D). Here we propose the following covariant definitions for the adiabatic perturbation  $\delta\sigma$  and the entropy perturbations  $\delta S^{\alpha\beta}$ ,

$$\delta\sigma = \frac{(\dot{\varphi}, \chi)}{\dot{\sigma}}, \quad (3.12)$$

$$\delta S^{\alpha\beta} = 2 \frac{\dot{\varphi}^{[\alpha} \chi^{\beta]}}{\dot{\sigma}}, \quad (3.13)$$

where the antisymmetrization is defined by  $[xy] = \frac{1}{2}(xy - yx)$ . As  $\dot{\varphi}$  is the tangent vector of the background trajectory then  $\delta\sigma$  is just the component of the perturbation along the direction of the background evolution, with  $\delta S$  representing those orthogonal to it. Note that we may invert these relations to recover the  $\chi$  perturbation from the entropy and adiabatic perturbations using

$$\chi^\alpha = \frac{1}{\dot{\sigma}} \dot{\varphi}^\alpha \delta\sigma - \frac{1}{\dot{\sigma}} \delta S^{\alpha\beta} \dot{\varphi}_\beta. \quad (3.14)$$

If we were to use  $\delta\varphi^\alpha$  instead of  $\chi^\alpha$  then these would agree with the two-field case examined in [4], however, it is important to note that  $(\varphi^1, \varphi^2) \rightarrow (\sigma, S)$  does not in general constitute a field redefinition, and assuming it does leads to incorrect results (App.D). After some work one finds that these new variables evolve according to

$$\begin{aligned} & \ddot{\sigma} + 3H\dot{\sigma} - \frac{1}{a^2} \Delta \delta\sigma + \frac{1}{\dot{\sigma}^2} [(V_{,\sigma})^2 - (\partial V, \partial V)] \delta\sigma + \frac{1}{\dot{\sigma}^2} D_\alpha \partial_\beta V \dot{\varphi}^\alpha \dot{\varphi}^\beta \delta\sigma \quad (3.15) \\ &= \frac{2}{\dot{\sigma}^3} V_{,\sigma} \delta S^{\alpha\beta} \partial_\alpha V \dot{\varphi}_\beta + \frac{2}{\dot{\sigma}^2} \delta S^{\alpha\beta} D_\gamma \partial_\alpha V \dot{\varphi}^\gamma \dot{\varphi}_\beta + \frac{2}{\dot{\sigma}^2} D_t \delta S^{\alpha\beta} \partial_\alpha V \dot{\varphi}_\beta \\ &+ \frac{8\pi G}{H} \left[ \frac{2}{\dot{\sigma}^2} (\dot{\sigma}^2 + V) \delta S^{\alpha\beta} \partial_\alpha V \dot{\varphi}_\beta - 2\dot{\sigma} V_{,\sigma} \delta\sigma - \frac{8\pi G}{H} \dot{\sigma}^2 V \delta\sigma \right], \end{aligned}$$

and

$$\begin{aligned} & D_t D_t \delta S^{\alpha\beta} + 3H D_t \delta S^{\alpha\beta} - \frac{1}{a^2} \Delta \delta S^{\alpha\beta} \quad (3.16) \\ &= \frac{2}{\dot{\sigma}} V_{,\sigma} \left( D_t \delta S^{\alpha\beta} + 3H \delta S^{\alpha\beta} \right) + \frac{4}{\dot{\sigma}^2} \partial^{[\alpha} V \left( D_t \delta S^{\beta]\gamma} + 3H \delta S^{\beta]\gamma} \right) \dot{\varphi}_\gamma \\ &+ \frac{4}{\dot{\sigma}^3} V_{,\sigma} \partial^{[\alpha} V \delta S^{\beta]\gamma} \dot{\varphi}_\gamma - \frac{4}{\dot{\sigma}^2} \partial^{[\alpha} V \delta S^{\beta]\gamma} \partial_\gamma V \\ &+ \frac{2}{\dot{\sigma}^2} D_\delta \partial^{[\alpha} V \delta S^{\beta]\gamma} \dot{\varphi}^\delta \dot{\varphi}_\gamma + \frac{2}{\dot{\sigma}^2} \dot{\varphi}^{[\alpha} D^{\beta]} \partial_\gamma V \delta S^{\gamma\delta} \dot{\varphi}_\delta + V_{,\sigma\sigma} \delta S^{\alpha\beta} \\ &- \frac{2}{\dot{\sigma}^2} \dot{\varphi}^{[\alpha} R^{\beta]}_{\gamma\delta\epsilon} \dot{\varphi}^\gamma \dot{\varphi}^\delta \delta S^{\epsilon\eta} \dot{\varphi}_\eta \\ &- \frac{4}{\dot{\sigma}^3} (3H\dot{\sigma} + V_{,\sigma}) \partial^{[\alpha} V \dot{\varphi}^{\beta]} \delta\sigma + \frac{16\pi G}{H} \partial^{[\alpha} V \dot{\varphi}^{\beta]} \delta\sigma - \frac{4}{\dot{\sigma}^2} \partial^{[\alpha} V \dot{\varphi}^{\beta]} \delta\dot{\sigma} \end{aligned}$$

In practise it is probably easier to evolve the system of perturbations with (3.11) and simply use (3.12), (3.13) to evaluate the entropy and adiabatic components, with (3.14) allowing us to set initial conditions for perturbations in terms of adiabatic and entropy modes.



## 4 pseudo-susy and multi-field slow-roll parameters

In order to use some of this formalism we are going to extend an idea that was used to study dark energy with a single scalar field [13], and is also useful in understanding the similarity between the equations describing a gravitating domain wall, and the cosmology of evolving scalar fields [14–16]. It has also been extended to multiple scalars [17] to examine the role of geodesics in field-space and cosmological evolution[18]. Pseudo-supersymmetry will allow us to make exact statements about the slow-roll parameters, as well as exact relations in the  $\delta\mathcal{N}$  formalism for curvature perturbations that lead to the spectral index and the non-Gaussianity parameter  $f_{\text{NL}}$ . The basic observation is that the system of equations (3.1,3.2,3.9,B.5,B.6)

$$3H^2 = 8\pi G \left( \frac{1}{2}(\dot{\varphi}, \dot{\varphi}) + V \right), \quad (4.1)$$

$$\dot{H} = -4\pi G(\dot{\varphi}, \dot{\varphi}), \quad (4.2)$$

$$D_t \dot{\varphi}^\alpha = -3H \dot{\varphi}^\alpha - \partial^\alpha V, \quad (4.3)$$

where we have set the spatial curvature  $K$  to zero, may be solved by the following system

$$\dot{\varphi}^\alpha = \pm \partial^\alpha W, \quad (4.4)$$

$$H = \mp 4\pi G W, \quad (4.5)$$

if we impose a special form on the potential, namely<sup>4</sup>

$$V(\underline{\varphi}) = 6\pi G W^2 - \frac{1}{2}(\partial W, \partial W). \quad (4.6)$$

This is rather like the BPS-system one finds for certain domain-walls [20] and, in line with that setup, we shall refer to  $W(\underline{\varphi})$  as the superpotential<sup>5</sup>. This requirement has a remarkable simplification for the slow-roll parameters, defined in terms of the Hamilton-Jacobi system, as we shall now demonstrate.

The Hamilton-Jacobi formalism has been examined for single scalars [21][22] and comes about by thinking of the Hubble parameter as a function of the single scalar field,  $\Phi$ . The first two slow-roll parameters are then defined by

$$\epsilon_H = \frac{1}{4\pi G} \left( \frac{H'(\Phi)}{H(\Phi)} \right)^2, \quad (4.7)$$

$$\eta_H = \frac{1}{4\pi G} \left( \frac{H''(\Phi)}{H(\Phi)} \right), \quad (4.8)$$

where  $'$  denotes differentiation with respect to  $\Phi$ ; higher order parameters are given in [21].

The case of multi-scalar fields is more complicated and there are a number of different ways to generalize the slow-roll parameters [23–27], here we propose slow-roll parameters

<sup>4</sup>It is worth pointing out that the calculation of [19] can be recast using this framework.

<sup>5</sup>In the supergravity literature  $W$  is usually reserved for the superpotential and  $V$  the potential, whereas  $W$  is often used in the cosmology literature to denote the potential; we shall use the supergravity-style convention.

that are rooted in the Hamilton-Jacobi formalism. The essential point to note is that the background evolution picks out a particular path in field space, and it is really only that path that plays any role in background evolution. As such, what we are interested in is how  $H$  varies as  $\sigma$  (the proper distance along the path in field-space) increases. A few important relations coming from (4.1), (4.2), (4.3) are

$$H_{,\sigma} = -4\pi G \dot{\sigma}, \quad (4.9)$$

$$(H_{,\sigma})^2 - 12\pi G H^2 = -2(4\pi G)^2 V, \quad (4.10)$$

$$\ddot{\sigma} + 3H\dot{\sigma} + V_{,\sigma} = 0, \quad (4.11)$$

with the  $\sigma$  derivative being defined by

$$H_{,\sigma} = \frac{\partial_\alpha H \dot{\varphi}^\alpha}{\dot{\sigma}}. \quad (4.12)$$

In the multi-field case we therefore define

$$\epsilon_H = \frac{1}{4\pi G} \left( \frac{H_{,\sigma}}{H} \right)^2, \quad (4.13)$$

$$\eta_H = \frac{1}{4\pi G} \left( \frac{H_{,\sigma\sigma}}{H} \right), \quad (4.14)$$

and we note that, just as in the single-field case, (4.1), (4.2) and (4.9) lead to<sup>6</sup>

$$\frac{\ddot{a}}{a} = H^2(1 - \epsilon_H), \quad (4.15)$$

showing that inflationary solutions have  $\epsilon_H < 1$ .

#### 4.1 slow-roll parameters in the slow-roll limit

In the slow-roll approximation we neglect  $D_t \dot{\varphi}^\alpha$  compared to  $H \dot{\varphi}^\alpha$  in the scalar equation of motion (4.3), and we drop  $(\dot{\varphi}, \dot{\varphi})$  when compared to  $V$  in the Friedmann equation (4.1), giving that

$$\epsilon_H \simeq \frac{1}{16\pi G} \frac{(\partial V, \partial V)}{V^2}, \quad (4.16)$$

which is a standard result, and

$$\eta_H \simeq \epsilon_H - \frac{1}{8\pi G} \frac{D_\alpha \partial_\beta V}{V} \frac{\partial^\alpha V \partial^\beta V}{(\partial V, \partial V)}, \quad (4.17)$$

which is a different generalization to those that have appeared in the literature for the multi-field case, but nevertheless reduces to the standard expression for the single-field case. We may also reduce the expression for the number of e-folds of inflation to the standard approximate integral expression

$$\begin{aligned} N &= \int dt H = \int dt \frac{H^2}{H} \simeq \frac{8\pi G}{3} \int dt \frac{V}{H} \simeq 8\pi G \int d\varphi^\alpha \frac{V}{3H\dot{\varphi}^\alpha} \\ &\simeq -8\pi G \int d\varphi^\alpha \frac{V}{\partial^\alpha V}, \end{aligned} \quad (4.18)$$

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<sup>6</sup>Recall that  $(\dot{\varphi}, \dot{\varphi}) = \dot{\sigma}^2$  (2.6).

where there is no sum over the  $\alpha$ <sup>7</sup>.

#### 4.2 slow-roll parameters without the nslow-roll

The remarkable thing about pseudo-susy (4.6) is that we now get *exact* expressions for the slow-roll parameters in terms of the superpotential

$$\epsilon_H = \frac{1}{4\pi G} \frac{(\partial W, \partial W)}{W^2}, \quad (4.19)$$

$$\eta_H = \frac{1}{4\pi G} \frac{D_\alpha \partial_\beta W}{W} \frac{\partial^\alpha W \partial^\beta W}{(\partial W, \partial W)}, \quad (4.20)$$

and the expression for the number of e-folds is now an *exact* integral expression

$$\begin{aligned} N &= \int dt H = \mp 4\pi G \int dt W = \mp 4\pi G \int dt (W - Q) \mp 4\pi G \int dt Q \\ &= -4\pi G \int d\varphi^\alpha \frac{W - Q}{\partial^\alpha W} - 4\pi G \int d\varphi^\beta \frac{Q}{\partial^\beta W}, \end{aligned} \quad (4.21)$$

where  $Q$  is some function that has been added and subtracted in order to make the integrals solvable - in some cases.

With an eye on systems that we are able to solve we now give some examples of superpotentials

- CLASS I: generalized sum-separable

$$W = [w_{(1)}(\varphi^1) + w_{(2)}(\varphi^2) + w_{(3)}(\varphi^3) + \dots]^m, \quad (4.22)$$

$$\frac{N}{4\pi G} = - \sum_\beta \int d\varphi^\beta \frac{w_{(\beta)}}{m w'_{(\beta)}}. \quad (4.23)$$

- CLASS II: product-separable

$$W = w_1(\varphi^1) w_2(\varphi^2) w_3(\varphi^3) \dots, \quad (4.24)$$

$$\frac{N^{(\beta)}}{4\pi G} = - \int d\varphi^\beta \frac{w_{(\beta)}}{w'_{(\beta)}}. \quad (4.25)$$

The form of these superpotentials stems from the analogous systems in the slow-roll limit using the potential [2, 9, 28–30]. The slow-roll approximation using  $V$  yields similar expressions for  $N$  [9], but in the following we shall be using pseudo-susy allowing us to derive exact expressions for the super potentials above.

### 5 $\delta\mathcal{N}$ , $n_\zeta$ and $\mathbf{f}_{NL}$

In this section we shall combine our field-space covariant approach to scalar perturbations with pseudo-susy to give expressions for the spectral index and the non-Gaussianity parameter, which does not rely on making the slow-roll approximation. First we give a recap of the  $\delta\mathcal{N}$  formalism [8, 31, 32].

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<sup>7</sup>The apparent non-covariant nature of this expression is removed by requiring it to be evaluated on-slow-roll-shell,  $3H\dot{\varphi}^\alpha + \partial^\alpha V = 0$ .

The important idea is that the curvature perturbation on uniform energy density hypersurfaces,  $\zeta$ , is, on large scales, just given by the perturbation in the number of e-folds,

$$\zeta(t_c, \underline{x}) \simeq \delta\mathcal{N}(t_c, t_\star, \underline{x}) \equiv \mathcal{N}(t_c, t_\star, \underline{x}) - N(t_c, t_\star), \quad (5.1)$$

where

$$N(t_c, t_\star) = \int_{t_\star}^{t_c} H dt, \quad (5.2)$$

is the unperturbed version of  $\mathcal{N}$ , which itself is the integral of the volume expansion rate [8]. The initial hypersurface, at  $t = t_\star$ , is taken to be spatially flat, and the final hypersurface, at  $t = t_c$ , is considered to be uniform density hypersurface. Now we view the number of e-foldings,  $\mathcal{N}(t_c, t_\star, \underline{x})$  as depending upon the value of the scalar fields at the initial hypersurface,  $\varphi(t_\star, \underline{x})$ , and also depending upon  $t_c$ . The variations  $\delta\mathcal{N}$  may now be given in terms of the variations of  $\varphi_\star$ . However, the standard expression [1, 2]

$$\delta\mathcal{N}(t_c, t_\star, \underline{x}) = \partial_\alpha N \delta\varphi_\star^\alpha + \partial_\alpha \partial_\beta N \delta\varphi_\star^\alpha \delta\varphi_\star^\beta + \dots, \quad (5.3)$$

although correct, does not fit our ethos of writing expressions that are covariant under field redefinition - due to the non-covariant transformation properties of partial derivatives and  $\delta\varphi^\alpha$ . In fact, the partial derivatives appearing in expressions of the form (1.1) have this Taylor expansion as their origin, and it is the non-trivial transformation properties of  $\delta\varphi^\alpha$  and  $\partial_\alpha$  that lead to inconsistent expressions such as (1.1). Following the procedure that led to (A.25) we find that in our perturbation variables

$$\delta\mathcal{N}(t_c, t_\star, \underline{x}) = \partial_\alpha N \chi_\star^\alpha + D_\alpha \partial_\beta N \chi_\star^\alpha \chi_\star^\beta + \dots \quad (5.4)$$

which is manifestly covariant, now all we need to do is calculate it.

### 5.1 $n_\zeta$ and $f_{\text{NL}}$

Following [2] we have that the curvature perturbation power spectrum  $\mathcal{P}_\zeta$  is defined by

$$\langle \zeta(\underline{k}_1) \zeta(\underline{k}_2) \rangle = (2\pi)^3 \delta_3(\underline{k}_1 + \underline{k}_2) \frac{2\pi^2}{k_1^3} \mathcal{P}_\zeta(k_1), \quad (5.5)$$

and we want to relate this to the two-point correlator of the scalar field perturbations. Again, we see that the standard expression  $\langle \delta\varphi^\alpha(\underline{k}_1) \delta\varphi^\beta(\underline{k}_2) \rangle \sim G^{\alpha\beta}$  [2–5] cannot be true because the left and right hand sides transform differently under field redefinition. Instead we have that

$$\langle \chi^\alpha(\underline{k}_1) \chi^\beta(\underline{k}_2) \rangle = (2\pi)^3 G^{\alpha\beta} \delta_3(\underline{k}_1 + \underline{k}_2) \frac{2\pi^2}{k_1^3} \mathcal{P}_\star(k_1), \quad (5.6)$$

$$\mathcal{P}_\star(k_1) = \frac{H_\star^2}{4\pi^2}, \quad (5.7)$$

where  $H_\star$  is evaluated at  $k = aH$ <sup>8</sup>. Now we combine these with (5.3)(5.4) to find [2]

$$\mathcal{P}_\zeta = (\partial N, \partial N) \mathcal{P}_\star. \quad (5.8)$$

From these ingredients we may now derive the spectral index,  $n_\zeta$ , defined by

$$n_\zeta - 1 = \frac{d \ln \mathcal{P}_\zeta}{d \ln k}. \quad (5.9)$$

For inflation, this is simplified by noting that at Hubble exit,  $k = aH$ , and  $H$  is approximately constant so [33]

$$n_\zeta - 1 \simeq \frac{1}{H} \frac{d \ln \mathcal{P}_\zeta}{dt}, \quad (5.10)$$

which we evaluate to

$$n_\zeta - 1 \simeq -2\epsilon_H + \frac{2}{H} \frac{\dot{\varphi}^\alpha D_\alpha \partial_\beta N \partial^\beta N}{(\partial N, \partial N)}, \quad (5.11)$$

and this is just the covariant version of what appears in [2]. To get the covariant version of  $f_{nl}$  we may follow the procedure in [2] using our variables to find

$$-\frac{6}{5} f_{nl}^{(4)} = \frac{\partial^\alpha N D_\alpha \partial_\beta N \partial^\beta N}{(\partial N, \partial N)^2}. \quad (5.12)$$

## 5.2 derivatives of $N$

In the preceding sections we saw that the various formulae required derivatives of  $N$  with respect to the scalar fields. We shall follow the method of Vernizzi and Wands [2], but instead of applying the slow-roll approximations using  $V$ , we shall apply pseudo-susy using  $W$  to avoid those approximations.

We may picture the evolution of the background scalar fields as some curve in field-space. Moreover, if we work with the gradient-flow solutions of pseudo-susy (4.4) then these flow lines do not intersect. In that case we have that the curves are parametrized by  $n_\varphi - 1$  constants, which may be thought of as the location of the intersection of the curves with some fiducial co-dimension one surface in field-space, Fig. 2. In practise there is a more convenient way to parametrize the curves, which we see by noting that the gradient-flow evolution of (4.4) leads to

$$\partial^\alpha W d\varphi^\beta - \partial^\beta W d\varphi^\alpha = 0 \quad (5.13)$$

which may, in principle, be integrated along the curves, so allowing one to assign a set of constants to the curves. At this point we restrict ourselves to the two classes of superpotential given in section 4.2, as this will enable us to integrate (5.13)

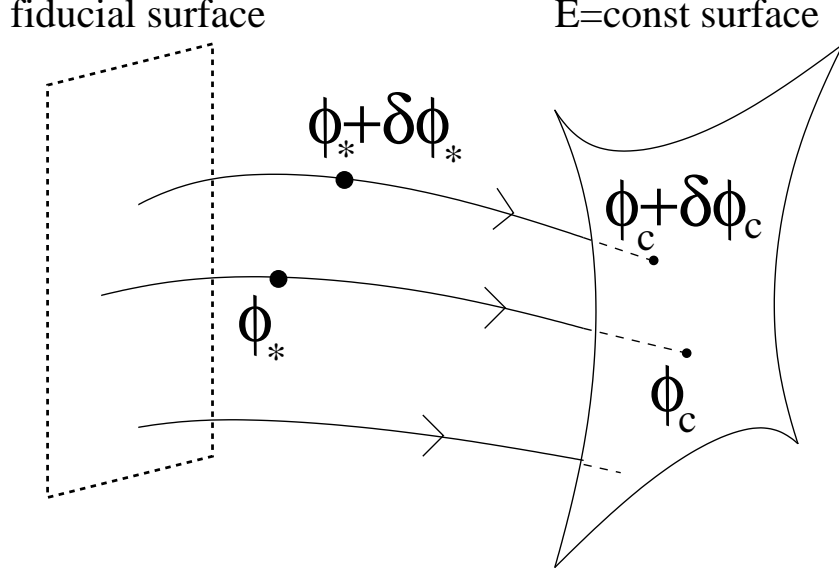
Now we pick some pair  $(\alpha, \beta)$  of components and suppose that we can write

$$\partial^\alpha W = \mathcal{F}_{(\alpha, \beta)}(\varphi) f_{(\beta)}(\varphi^\beta), \quad (5.14)$$

$$\partial^\beta W = \mathcal{F}_{(\alpha, \beta)}(\varphi) f_{(\alpha)}(\varphi^\alpha), \quad (5.15)$$

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<sup>8</sup>see [6] for the flat spacetime version of (5.6).



**Figure 2:** Flow lines following (4.4) are specified purely in terms of the gradient of the superpotential and so do not cross, except at critical point of  $W$ . As such they may be specified by their intersection with some fiducial co-dimension one surface. These flow lines then proceed to the constant energy density surface at  $t_c$ .

such that

$$\mathcal{F}_{(\alpha,\beta)} \left( f_{(\beta)}(\varphi^\beta) d\varphi^\beta - f_{(\alpha)}(\varphi^\alpha) d\varphi^\alpha \right) = 0, \quad (5.16)$$

i.e. we extract a common function from each of the terms in (5.13) and ensure that the  $d\varphi^\alpha$  differential is multiplied by a function of  $\varphi^\alpha$ , whilst the  $d\varphi^\beta$  differential is multiplied by a function of  $\varphi^\beta$ . This includes (for flat moduli metric in Cartesian field-space co-ordinates) our CLASS I, II superpotentials given above. Given this restriction we may now introduce a set of constants that specify a given trajectory

$$\hat{C}^{\alpha,\beta} = \int f_{(\alpha)}(\varphi^\alpha) d\varphi^\alpha - \int f_{(\beta)}(\varphi^\beta) d\varphi^\beta. \quad (5.17)$$

We also note that this does indeed just give  $n_\varphi - 1$  independent constants as, for example,  $\hat{C}^{1,5} = \hat{C}^{1,2} + \hat{C}^{2,3} + \hat{C}^{3,4} + \hat{C}^{4,5}$ . Indeed, we may take  $\hat{C}^{1,2}, \hat{C}^{2,3}, \hat{C}^{3,4}, \dots, \hat{C}^{n_\varphi-1, n_\varphi}$ , as our independent constants and it is then convenient, at times, to denote the independent constants by

$$C^\alpha = \hat{C}^{\alpha, \alpha+1}, \quad \alpha = 1 \dots n_\varphi - 1. \quad (5.18)$$

Now let us recall what we need to do. Equations coming from the  $\delta\mathcal{N}$  formalism, such as (5.11, 5.12), require us to differentiate  $N$  with respect to  $\varphi_\star^\alpha$ , taking into account that as we vary  $\varphi_\star^\alpha$ ,  $\varphi_c^\alpha$  will also change as we will have moved onto another trajectory.

Schematically then, we have from (4.21) that

$$N \sim \int_{\star}^c d\varphi \frac{W}{W'}, \quad (5.19)$$

$$dN \sim \frac{W}{W'} \Big|_{\star} d\varphi_{\star} - \frac{W}{W'} \Big|_c \frac{\partial \varphi_{\star}}{\partial \varphi_c} d\varphi_{\star}, \quad (5.20)$$

with all the hard work coming from calculating the  $\frac{\partial \varphi_{\star}}{\partial \varphi_c}$ , which we do by following the method of [2]. The idea is to write  $\frac{\partial \varphi_{\star}}{\partial \varphi_c} = \frac{\partial \varphi_{\star}}{\partial C} \frac{\partial C}{\partial \varphi_c}$ , where  $C$  are the  $n_{\varphi} - 1$  constants that define which trajectory we are on. Given that, we establish from (5.17)

$$\begin{aligned} \frac{\partial \hat{C}^{\alpha, \beta}}{\partial \varphi_{\star}^{\alpha}} &= f_{(\alpha)}(\varphi_{\star}^{\alpha}), \\ \frac{\partial \hat{C}^{\alpha, \beta}}{\partial \varphi_{\star}^{\beta}} &= -f_{(\beta)}(\varphi_{\star}^{\beta}), \end{aligned} \quad (5.21)$$

in which case we see

$$\begin{aligned} \frac{\partial C^{\alpha}}{\partial \varphi_{\star}^{\alpha}} &= f_{(\alpha)}(\varphi_{\star}^{\alpha}), \\ \frac{\partial C^{\alpha}}{\partial \varphi_{\star}^{\alpha+1}} &= -f_{(\alpha+1)}(\varphi_{\star}^{\alpha+1}), \end{aligned} \quad (5.22)$$

for  $\alpha = 1 \dots n_{\varphi} - 1$ . Moreover, we have that (5.17) yields

$$\delta^{\alpha\beta} = \frac{dC^{\alpha}}{dC^{\beta}} = f_{(\alpha)}(\varphi_c^{\alpha}) \frac{d\varphi_c^{\alpha}}{dC^{\beta}} \Big|_c - f_{(\alpha+1)}(\varphi_c^{\alpha+1}) \frac{d\varphi_c^{\alpha+1}}{dC^{\beta}} \Big|_c, \quad \alpha, \beta = 1 \dots n_{\varphi} - 1, \quad (5.23)$$

which, for a given  $\beta$ , gives  $n_{\varphi} - 1$  relations for the  $\frac{d\varphi_c^{\alpha}}{dC^{\beta}}$ . Now note that the final surface is defined to be a uniform energy density surface, and that from (3.1), (4.6) energy density is given by

$$\mathcal{E} = \frac{1}{2}(\dot{\varphi}, \dot{\varphi}) + V = 6\pi G W^2, \quad (5.24)$$

so the surface at  $t_c$  is defined by

$$W_c = \text{const}, \quad (5.25)$$

which implies that

$$\frac{d\varphi^{\gamma}}{d\hat{C}^{\beta}} \Big|_c \partial_{\gamma} W|_c = 0. \quad (5.26)$$

We may now combine this single relation, for a given  $\beta$ , with the  $n_{\varphi} - 1$  relations (5.23) to give the following evaluated at  $t_c$ .

$$\begin{pmatrix} \partial_1 W & \partial_2 W & \partial_3 W & \dots \\ f_{(1)} & -f_{(2)} & 0 & \dots \\ 0 & f_{(2)} & -f_{(3)} & \dots \\ \vdots & & & \end{pmatrix} \begin{pmatrix} \frac{d\varphi^1}{d\hat{C}^{\beta}} \\ \frac{d\varphi^2}{d\hat{C}^{\beta}} \\ \frac{d\varphi^3}{d\hat{C}^{\beta}} \\ \vdots \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ 0 \\ \vdots \end{pmatrix}, \quad (5.27)$$

where the non-zero element of the column vector on the right-hand-side is at row  $\beta + 1$ . These matrix equations are then solved to yield *exact* expressions for  $\frac{d\varphi_c^\alpha}{dC^\beta}$ , and we combine this with (5.22) allowing us to calculate

$$\frac{\partial \varphi_c^\alpha}{\partial \varphi_\star^\gamma} = \frac{d\varphi_c^\alpha}{dC^\beta} \frac{\partial C^\beta}{\partial \varphi_\star^\gamma}. \quad (5.28)$$

For the classes of superpotential identified earlier we find the following for the variation of  $N$ .

- CLASS I.

$$\frac{1}{4\pi G} \frac{\partial N}{\partial \varphi_\star^\alpha} = \frac{1}{m} \left\{ \frac{w_{(\alpha)}}{w'_{(\alpha)}} \Big|_\star - \sum_\beta \frac{w_{(\beta)}}{w'_{(\beta)}} \Big|_c \frac{\partial \varphi_c^\beta}{\partial \varphi_\star^\alpha} \right\} \quad (5.29)$$

- CLASS II.

$$\frac{1}{4\pi G} \frac{\partial N^{(\beta)}}{\partial \varphi_\star^\alpha} = \frac{w_{(\beta)}}{w'_{(\beta)}} \Big|_\star \delta_\alpha^\beta - \frac{w_{(\beta)}}{w'_{(\beta)}} \Big|_c \frac{\partial \varphi_c^\beta}{\partial \varphi_\star^\alpha} \quad (5.30)$$

The higher order derivatives of  $N$  follow from these expressions by differentiation, and may be substituted into (5.11) to find the spectral index, and (5.12) to find the non-Gaussianity parameter.

## 6 Conclusions

In this paper we have presented arguments in favour of using a perturbation variable for the scalar fields that transforms covariantly under field-space redefinitions, replacing the  $\delta\varphi^\alpha$  that is currently used, and allowing one to more easily write expressions for physical quantities that are manifestly invariant under  $\varphi^\alpha \rightarrow \varphi'^\alpha(\varphi)$ . These variables resolve a number of inconsistent expressions that have appeared in the literature, and may be used to define a natural set of adiabatic and entropy perturbations along, and normal to, the background evolution of the scalar. In introducing this covariant picture we also see that a common definition of adiabatic and entropy perturbation lead to incorrect results as they do not correspond to field redefinitions, except for the trivial case of the background scalar evolving in a straight line in field space.

Thinking about the evolution of the scalar in terms of paths in field space also led to a natural definition of slow-roll parameters in terms of the Hamilton-Jacobi formulation, which itself is intimately connected to the notion of pseudo-supersymmetry. This pseudo-supersymmetry turned out to be a useful tool in calculating physical observables related to the perturbations of the scalars, allowing us to calculate exact expressions for the derivatives of  $N$ , the number of e-folds, with respect to the scalars.

There are a number of avenues that remain to be explored. While we have presented two classes of superpotential that allow for explicit expressions of observables it is far from clear that this is the full set, it would be useful to know the general class of superpotential



that can be solved exactly. An exploration of the physical implication of pseudo-susy is also required, and here the technique should be fruitful as we are able to have analytic control over the evolution of the fields for longer; pseudo-susy does not need the slow-roll approximation and so the evolution may be explored even after the end of inflation.

## Acknowledgments

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## A scalar-field perturbations

Now we shall follow [34, 35] in setting up the Riemann co-ordinates for our problem, which specifies a co-ordinate system using geodesics. The first step is to solve the geodesic equation, which we do as a power series expansion in  $\sigma$ , the proper distance along a curve,

$$\phi^\alpha(\sigma) = \phi_{(0)}^\alpha + \sigma \left. \frac{d\phi^\alpha}{d\sigma} \right|_0 + \frac{1}{2} \sigma^2 \left. \frac{d^2\phi^\alpha}{d\sigma^2} \right|_0 + \dots, \quad (\text{A.1})$$

upon substitution of this into the geodesic equation (2.4) we find

$$\phi^\alpha(\sigma) = \phi_{(0)}^\alpha + \sigma \xi_{(0)}^\alpha - \frac{1}{2} \sigma^2 \Gamma_{\beta\gamma}^\alpha \xi_{(0)}^\beta \xi_{(0)}^\gamma - \frac{1}{3!} \sigma^3 \Gamma_{\beta\gamma\delta}^\alpha \xi_{(0)}^\beta \xi_{(0)}^\gamma \xi_{(0)}^\delta + \dots, \quad (\text{A.2})$$

where

$$\Gamma_{\beta\gamma\delta}^\alpha = \text{“}\nabla_\delta\text{”}\Gamma_{\beta\gamma}^\alpha, \quad \Gamma_{\beta\gamma\delta\epsilon}^\alpha = \text{“}\nabla_\epsilon\text{”}\Gamma_{\beta\gamma\delta}^\alpha, \dots, \quad (\text{A.3})$$

and “ $\nabla_\alpha$ ” is the “covariant” derivative that only sees the lower indices, e.g. “ $\nabla_\delta$ ”  $\Gamma_{\beta\gamma}^\alpha = \partial_\delta \Gamma_{\beta\gamma}^\alpha - \Gamma_{\delta\beta}^\epsilon \Gamma_{m\gamma}^\alpha - \Gamma_{\delta\gamma}^m \Gamma_{\beta\epsilon}^\alpha$ .

Our new co-ordinates,  $\tilde{\phi}^\alpha$ , are related to the original ones by (A.2)

$$\phi^\alpha = \phi_{(0)}^\alpha + \tilde{\phi}^\alpha - \frac{1}{2} \Gamma_{\beta\gamma}^\alpha \tilde{\phi}^\beta \tilde{\phi}^\gamma + \dots, \quad (\text{A.4})$$

yielding

$$\left. \frac{\partial \phi^\alpha}{\partial \tilde{\phi}^\beta} \right|_0 = \delta_\beta^\alpha, \quad (\text{A.5})$$

and so the co-ordinate transformation is invertible. We also note that the components of the tangent vector in these new co-ordinates are  $\tilde{\xi}^\alpha = \frac{d\tilde{\phi}^\alpha}{d\sigma} = \xi_{(0)}^\alpha$  so if we were to solve the geodesic equation as a series expansion for  $\tilde{\phi}^\alpha$  co-ordinates rather than  $\phi^\alpha$  we would find the analogue of (A.2) to be

$$\tilde{\phi}^\alpha(\sigma) = \sigma \xi_{(0)}^\alpha - \frac{1}{2} \sigma^2 \tilde{\Gamma}_{\beta\gamma}^\alpha \xi_{(0)}^\beta \xi_{(0)}^\gamma + \dots, \quad (\text{A.6})$$

Where the  $\tilde{\Gamma}_{\beta\gamma}^\alpha$  are the Christoffel symbols in the  $\tilde{\phi}$  co-ordinates. However, we know that the geodesics are given by  $\tilde{\phi}^\alpha(\sigma) = \sigma \xi_{(0)}^\alpha$ , because that is what solve the geodesic equation (A.4, A.2), which tells us that  $\tilde{\Gamma}_{(\beta\gamma)}^\alpha = \tilde{\Gamma}_{(\beta\gamma\delta)}^\alpha = \dots = 0$ . This reduces to

$$\partial_{(\alpha_1} \partial_{\alpha_2} \dots \partial_{\alpha_{n-2}} \tilde{\Gamma}_{\alpha_{n-1}\alpha_n}^\beta = 0, \quad (\text{A.7})$$

which may be rewritten as

$$\partial_\beta \partial_{(\alpha_1} \partial_{\alpha_2} \dots \tilde{\Gamma}_{\alpha_{n-2}\alpha_{n-1}}^\gamma = -\frac{2}{n-2} \partial_{(\alpha_1} \partial_{\alpha_2} \dots \alpha_{n-2} \tilde{\Gamma}_{\alpha_{n-1})\beta}^\gamma. \quad (\text{A.8})$$

It is these explicit relations of the Christoffel symbols that make the perturbation analysis tractible, for example, in Riemann co-ordinates the Riemann curvature of the field-space is given by

$$\tilde{R}_{\beta\gamma\delta}^\alpha = \partial_\gamma \tilde{\Gamma}_{\beta\delta}^\alpha - \partial_\delta \tilde{\Gamma}_{\beta\gamma}^\alpha, \quad (\text{A.9})$$

which may be used to derive

$$\partial_\gamma \tilde{\Gamma}_{\beta\delta}^\alpha = \frac{1}{3} \left[ \tilde{R}_{\beta\gamma\delta}^\alpha + \tilde{R}_{\delta\gamma\beta}^\alpha \right], \quad (\text{A.10})$$

One also finds that

$$\partial_{(\alpha_1} \partial_{\alpha_2} \tilde{\Gamma}_{\alpha_3)\gamma}^\beta = -\frac{1}{2} D_{(\alpha_1} \tilde{R}_{\alpha_2|\gamma|\alpha_3)}^\beta, \quad (\text{A.11})$$

$$\partial_{(\alpha_1} \partial_{\alpha_2} \partial_{\alpha_3} \tilde{\Gamma}_{\alpha_4)\gamma}^\beta = -\frac{3}{5} \left[ D_{(\alpha_1} D_{\alpha_2} \tilde{R}_{\alpha_3|\gamma|\alpha_4)}^\beta + \frac{2}{9} \tilde{R}_{(\alpha_1\alpha_2|\delta|}^\beta \tilde{R}_{\alpha_3\alpha_4)\gamma}^\delta \right], \quad (\text{A.12})$$

which corrects a typo in [6].

Having introduced our perturbation variable, we now need to expand the various quantities that appear in the scalar-field sector of the Lagrangian density (2.3). To do this we note that the expansion of a general covariant tensor on the scalar manifold, in terms of our perturbation variable is [6]

$$T_{\alpha_1\alpha_2\dots\alpha_m}(\phi(0) + \delta\phi) = \sum_{n=0}^{\infty} \frac{1}{n!} \left[ \frac{\partial}{\partial \tilde{\phi}^{\beta_1}} \dots \frac{\partial}{\partial \tilde{\phi}^{\beta_n}} T_{\alpha_1\alpha_2\dots\alpha_m} \right]_0 \chi^{\beta_1} \dots \chi^{\beta_n}, \quad (\text{A.13})$$

and we may use the properties of the Riemann co-ordinate system to derive, for example,

$$\partial_{(\alpha_1}\partial_{\alpha_2)}\tilde{T}_{\gamma\delta} = D_{(\alpha_1}D_{\alpha_2)}\tilde{T}_{\gamma\delta} - \frac{1}{3}\left(\tilde{R}^\beta_{(\alpha_1|\gamma|\alpha_2)}\tilde{T}_{\beta\delta} + \tilde{R}^\beta_{(\alpha_1|\delta|\alpha_2)}\tilde{T}_{\gamma\beta}\right), \quad (\text{A.14})$$

$$\begin{aligned} \partial_{(\alpha_1}\partial_{\alpha_2}\partial_{\alpha_3)}\tilde{T}_{\gamma\delta} &= D_{(\alpha_1}D_{\alpha_2}D_{\alpha_3)}\tilde{T}_{\gamma\delta} - \left(\tilde{R}^j_{(\alpha_1|\gamma|\alpha_2}D_{\alpha_3)}\tilde{T}_{\beta\delta} + \tilde{R}^\beta_{(\alpha_1|\delta|\alpha_2}D_{\alpha_3)}\tilde{T}_{\gamma\beta}\right) \\ &\quad - \frac{1}{2}\left(D_{(\alpha_1}\tilde{R}^\beta_{\alpha_2|\gamma|\alpha_3)}\tilde{T}_{\beta\delta} + D_{(\alpha_1}\tilde{R}^\beta_{\alpha_2|\delta|\alpha_3)}\tilde{T}_{\gamma\beta}\right), \end{aligned} \quad (\text{A.15})$$

$$\begin{aligned} \partial_{(\alpha_1}\partial_{\alpha_2}\partial_{\alpha_3}\partial_{\alpha_4)}\tilde{T}_{\gamma\delta} &= D_{(\alpha_1}D_{\alpha_2}D_{\alpha_3}D_{\alpha_4)}\tilde{T}_{\gamma\delta} \\ &\quad + 2\left(\tilde{R}^\beta_{(\alpha_1\alpha_2|\gamma|}D_{\alpha_3}D_{\alpha_4)}\tilde{T}_{\beta\delta} + \tilde{R}^\beta_{(\alpha_1\alpha_2|\delta|}D_{\alpha_3}D_{\alpha_4)}\tilde{T}_{\gamma\beta}\right) \\ &\quad - 2\left(D_{(\alpha_1}\tilde{R}^\beta_{\alpha_2|\gamma|\alpha_3}D_{\alpha_4)}\tilde{T}_{\beta\delta} + D_{(\alpha_1}\tilde{R}^\beta_{\alpha_2|\delta|\alpha_3}D_{\alpha_4)}\tilde{T}_{\gamma\beta}\right) \\ &\quad + \frac{3}{5}\left(D_{(\alpha_1}D_{\alpha_2}\tilde{R}^\beta_{\alpha_3\alpha_4)\gamma}\tilde{T}_{\beta\delta} + D_{(\alpha_1}D_{\alpha_2}\tilde{R}^\beta_{\alpha_3\alpha_4)\delta}\tilde{T}_{\gamma\beta}\right) \\ &\quad + \frac{1}{5}\left(\tilde{R}^\beta_{\alpha_1\alpha_2|\epsilon|}\tilde{R}^\epsilon_{\alpha_3\alpha_4)\gamma}\tilde{T}_{\beta\delta} + \tilde{R}^\beta_{\alpha_1\alpha_2|\epsilon|}\tilde{R}^\epsilon_{\alpha_3\alpha_4)\delta}\tilde{T}_{\gamma\beta}\right) \\ &\quad + \frac{1}{3}\tilde{R}^\epsilon_{(\alpha_1\alpha_2|\gamma|}\tilde{R}^\beta_{\alpha_3\alpha_4)\delta}\left(\tilde{T}_{\epsilon\beta} + \tilde{T}_{\beta\epsilon}\right). \end{aligned} \quad (\text{A.16})$$

Now we notice that the right-hand-side of equations (A.14-A.16) are composed of tensor quantities, and so when substituted into the Taylor expansion (A.13) we have a fully covariant expression from the terms of the perturbation expansion. These expressions allow us to compute  $G_{\alpha\beta}(\phi_{(0)} + \delta\phi)$ , and  $V(\phi_{(0)} + \delta\phi)$ , but we still need to find the expansion for  $\partial_\mu(\phi_{(0)} + \delta\phi)$  of the kinetic term. This is achieved by noting that the perturbation is at  $\sigma = 1$ , and so (2.12), (A.6) give

$$\begin{aligned} \phi_{(0)}^\beta + \delta\phi^\beta &= \phi^\beta(\sigma = 1) = \phi_{(0)}^\beta + \chi^\beta - \frac{1}{2}\left(\tilde{\Gamma}_{\alpha_1\alpha_2}^\beta\right)_0\chi^{\alpha_1}\chi^{\alpha_2} + \dots, \\ \Rightarrow \partial_\mu(\phi_{(0)}^\beta + \delta\phi^\beta) &= \partial_\mu\phi_{(0)}^\beta + \partial_\mu\chi^\beta - \frac{1}{2}\left(\partial_\gamma\tilde{\Gamma}_{\alpha_1\alpha_2}^\beta\right)_0\chi^{\alpha_1}\chi^{\alpha_2}\partial_\mu\phi_{(0)}^\gamma + \dots, \end{aligned} \quad (\text{A.17})$$

in Riemann co-ordinates, where we have used (A.5). Now we use our relations for the Christoffel symbols in Riemann co-ordinates to show

$$\partial_\gamma\tilde{\Gamma}_{(i_1i_2)}^\beta = -\frac{2}{3}\tilde{R}_{(i_1i_2)\gamma}^\beta, \quad (\text{A.18})$$

$$\partial_\gamma\tilde{\Gamma}_{(i_1i_2i_3)}^\beta = \frac{1}{2}D_{(i_1}\tilde{R}_{i_2i_3)\gamma}^\beta, \quad (\text{A.19})$$

$$\partial_\gamma\tilde{\Gamma}_{(\alpha_1\alpha_2\alpha_3\alpha_4)}^\beta = -4!\left(\frac{1}{60}D_{(\alpha_1}D_{\alpha_2}R_{\alpha_3\alpha_4)\gamma}^\beta - \frac{1}{45}R_{(\alpha_1\alpha_2|\delta|}^\beta R_{\alpha_3\alpha_4)\gamma}^\delta\right), \quad (\text{A.20})$$

leading to a covariant expression that does not rely on Riemann co-ordinates

$$\begin{aligned} \partial_\mu(\phi_{(0)}^\beta + \delta\phi^\beta) &= \partial_\mu\phi_{(0)}^\beta + D_\mu\chi^\beta + \frac{1}{3}R_{\alpha_1\alpha_2\gamma}^\beta\chi^{\alpha_1}\chi^{\alpha_2}\partial_\mu\phi_{(0)}^\gamma \\ &\quad + \frac{1}{12}D_{\alpha_1}R_{\alpha_2\alpha_3\gamma}^\beta\chi^{\alpha_1}\chi^{\alpha_2}\chi^{\alpha_3}\partial_\mu\phi_{(0)}^\gamma \\ &\quad + \left(\frac{1}{60}D_{\alpha_1}D_{\alpha_2}R_{\alpha_3\alpha_4\gamma}^\beta - \frac{1}{45}R_{\alpha_1\alpha_2\delta}^\beta R_{\alpha_3\alpha_4\gamma}^\delta\right)\chi^{\alpha_1}\chi^{\alpha_2}\chi^{\alpha_3}\chi^{\alpha_4}\partial_\mu\phi_{(0)}^\gamma + \dots, \end{aligned} \quad (\text{A.21})$$

where we have introduced the covariant derivative

$$D_\mu \chi^\alpha = \partial_\mu \chi^\alpha + \Gamma_{\beta\gamma}^\alpha \partial_\mu \phi^\beta \chi^\gamma. \quad (\text{A.22})$$

In the main section of the paper we shall meet objects with spacetime indices, whose covariant derivatives are given in terms of the Christoffel symbols of the spacetime,  $\{\mu{}^\nu{}_\rho\}$

$$\nabla_\mu X^\nu = \partial_\mu X^\nu + \{\mu{}^\nu{}_\rho\} X^\rho, \quad (\text{A.23})$$

we shall also have objects with both spacetime and scalar-field manifold indices, in which case the covariant derivatives are given by

$$\mathcal{D}_\mu \chi^\alpha = \partial_\mu \chi^\alpha + \Gamma_{\beta\gamma}^\alpha \partial_\mu \phi^\beta \chi^\gamma + \{\mu{}^\nu{}_\rho\} X^\rho. \quad (\text{A.24})$$

We also need the expansion of the potential, which turns out to be

$$V(\phi_{(0)} + \delta\phi) = V(\phi_{(0)}) + \partial_\alpha V_0 \chi^\alpha + \frac{1}{2} D_{\alpha_1} \partial_{\alpha_2} V_0 \chi^{\alpha_1} \chi^{\alpha_2} + \frac{1}{3!} D_{\alpha_1} D_{\alpha_2} \partial_{\alpha_3} V_0 \chi^{\alpha_1} \chi^{\alpha_2} \chi^{\alpha_3} + \dots \quad (\text{A.25})$$

## B cosmological perturbations

Following most of the conventions in [36], the line element for the perturbed FRW cosmological spacetime and fluid four-velocity are

$$ds^2 = a^2(\eta) \left\{ -(1 + 2\phi) d\eta^2 + 2\partial_i B dx^i d\eta + [(1 - 2\psi)\gamma_{ij} + 2E_{|ij}] dx^i dx^j \right\}, \quad (\text{B.1})$$

$$U_\mu = a(\eta) (-1 - \phi, \partial_i v), \quad (\text{B.2})$$

where  $\gamma_{ij}$  are the components of the spatial metric, with curvature constant  $K$ ; the vertical bar in  $E_{|ij}$  denotes the covariant derivative with respect to the spatial metric  $\gamma_{ij}$ ; and we are considering only scalar perturbations. Writing the energy momentum tensor in the form

$$T^\mu{}_\nu = (\rho + P)U^\mu U_\nu + P\delta^\mu{}_\nu, \quad (\text{B.3})$$

and using Einstein's equations

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}, \quad (\text{B.4})$$

allows us to derive the background relations

$$H^2 = \frac{8\pi G}{3} \bar{\rho} - \frac{K}{a^2}, \quad (\text{B.5})$$

$$\dot{H} = -4\pi G(\bar{\rho} + \bar{P}) + \frac{K}{a^2}, \quad (\text{B.6})$$

and the perturbed relations

$$H\kappa - \frac{\Delta\psi + 3K\psi}{a^2} = -4\pi G\delta\rho, \quad (\text{B.7})$$

$$\kappa + \frac{\Delta\sigma_s + 3K\sigma_s}{a^2} = -12\pi G(\bar{\rho} + \bar{P})av, \quad (\text{B.8})$$

$$\dot{\sigma}_s + H\sigma_s - \phi + \psi = 0, \quad (\text{B.9})$$

where we have introduced [10]

$$\sigma_s = -a(B - E'), \quad (\text{B.10})$$

$$\kappa = \frac{1}{a} [3(\mathcal{H}\phi + \psi') + \Delta(B - E')], \quad (\text{B.11})$$

$$\mathcal{H} = a'/a, \quad H = \dot{a}/a, \quad (\text{B.12})$$

$$a(\eta)d\eta = dt, \quad (\text{B.13})$$

and an overdot denotes differentiation with respect to  $t$  ( $dt = a(\eta)d\eta$ ), a prime corresponds to differentiation with  $\eta$ , and  $\Delta$  is the Laplacian associated to  $\gamma_{ij}$ .

## C Gauge invariant variables

It is often convenient to use variables that are invariant under the choice of spacetime gauge, so knowing how the various quantities transform is useful. Here we have that under a small co-ordinate transformation

$$\tilde{x}^\mu = x^\mu + \xi^\mu, \quad (\text{C.1})$$

then

$$\tilde{\phi} = \phi - \mathcal{H}\xi^0 - \xi'^0, \quad (\text{C.2})$$

$$\tilde{\psi} = \psi + \mathcal{H}\xi^0, \quad (\text{C.3})$$

$$\tilde{\sigma}_s = \sigma_s - a\xi^0, \quad (\text{C.4})$$

$$\tilde{\chi}^\alpha = \chi^\alpha - \bar{\varphi}'^\alpha \xi^0, \quad (\text{C.5})$$

in which case one finds the following gauge invariant variables

$$\chi_{(\psi)}^\alpha = \chi^\alpha - \frac{\dot{\varphi}^\alpha}{\mathcal{H}}\psi, \quad (\text{C.6})$$

$$\psi_{(\sigma)} = \psi + \frac{\mathcal{H}}{a}\sigma_s. \quad (\text{C.7})$$

## D Adiabatic and entropy perturbations in the two-field case

If we consider the two-field limit of (3.12) and (3.13) (using  $\delta\varphi$  instead of  $\underline{\chi}$  to match [4]) we find

$$\delta\sigma = \frac{\dot{\varphi}^1}{\dot{\sigma}}\delta\varphi^1 + \frac{\dot{\varphi}^2}{\dot{\sigma}}\delta\varphi^2 \quad (\text{D.1})$$

$$\delta S = -\frac{\dot{\varphi}^2}{\dot{\sigma}}\delta\varphi^1 + \frac{\dot{\varphi}^1}{\dot{\sigma}}\delta\varphi^2 \quad (\text{D.2})$$

$$(\text{D.3})$$

then define [4]

$$\frac{\dot{\varphi}^1}{\dot{\sigma}} = \cos\theta, \quad \frac{\dot{\varphi}^2}{\dot{\sigma}} = \sin\theta. \quad (\text{D.4})$$

Now we try to construct a field redefinition from  $(\varphi^1, \varphi^2)$  to  $(\sigma, S)$ . The infinitesimal limit of the above relations tell us that

$$\frac{\partial \sigma}{\partial \varphi^1} = \cos \theta, \quad \frac{\partial \sigma}{\partial \varphi^2} = \sin \theta, \quad (\text{D.5})$$

$$\frac{\partial S}{\partial \varphi^1} = -\sin \theta, \quad \frac{\partial S}{\partial \varphi^2} = \cos \theta. \quad (\text{D.6})$$

Now, for these to be a well-defined transformation we must have  $\frac{\partial^2 \sigma}{\partial \varphi^2 \partial \varphi^1} = \frac{\partial^2 \sigma}{\partial \varphi^1 \partial \varphi^2}$  and  $\frac{\partial^2 S}{\partial \varphi^2 \partial \varphi^1} = \frac{\partial^2 S}{\partial \varphi^1 \partial \varphi^2}$ , implying that

$$-\tan \theta \frac{\partial \theta}{\partial \varphi^2} = \frac{\partial \theta}{\partial \varphi^1}, \quad \frac{\partial \theta}{\partial \varphi^2} = \tan \theta \frac{\partial \theta}{\partial \varphi^1}, \quad (\text{D.7})$$

which combine to show that  $\theta = \text{const}$  is the only solution that leads to a well-defined field redefinition, i.e. if we want to use  $(\sigma, S)$  as field variables then we can only do so if the background evolution is trivial.

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